



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on July 03, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EV332825489US**, addressed to the Commissioner for Patents, Mail Stop Box Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: UDL1P088

First Named Inventor: James Micheal
White

Jeffrey Ng

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

Commissioner for Patents
Mail Stop Box Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
James Micheal White and Stuart Gelton Moran

For: **TROCAR SHIELD ACTUATOR MECHANISM**

Assigned to: SURGICAL INNOVATIONS LTD.

Application Elements:

- ☒ **13** Pages of Specification, Claims and Abstract
☒ **08** Sheets of informal Drawings
☐ Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☐ Do not publish this application. Nonpublication Request is attached.
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Copies of IDS Citations
☒ Preliminary Amendment
☒ Return Receipt Postcard
☐ Other:

Claim For Foreign Priority

- ☒ Priority of United Kingdom Application No. 0215537.2 filed on July 5, 2002 is claimed under 35 U.S.C. § 119.
- ☐ The certified copy is enclosed.
- ☐ The certified copy has been filed in prior application U.S. Application No.
- ☒ The certified copy will follow.

Fee Calculation (37 CFR § 1.16)

- ☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

☒ **PLEASE DEFER FILING FEES AT THIS TIME.**

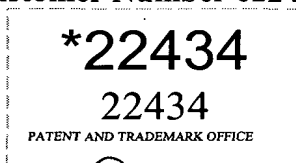
- ☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No.).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

- ☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. UDL1P088).

- ☒ Please send correspondence to the following address:

Customer Number 022434



Date: July 3, 2003

James E. Austin
Registration No. 39,489